

Annex One: Establishing an enabling environment for civil society

ABOUT THE SURVEY

This survey has been prepared by the Canadian Council for International Co-operation (CCIC) and the Inter Council Network (ICN). The ICN consists of the following Provincial and Regional Councils for International Cooperation:

- Alberta Council for Global Cooperation
- Association québécoise des organismes de coopération internationale
- Atlantic Council for International Cooperation
- British Columbia Council for International Cooperation
- Manitoba Council for International Cooperation
- Ontario Council for International Cooperation
- Saskatchewan Council for International Cooperation

This is an important follow-up to previous surveys conducted by the Councils in 2011 and 2012 in relation to the impact of the then new competitive funding mechanism under "Partnerships with Canadians Branch" (PWCB) at the former Canadian International Development Agency (CIDA). The last survey was carried out in January 2012 on the heels of the December 2011 announcement of the winners of the Under and Over \$2million calls for proposals. While many of the impacts were known then, now two years later, it is very important for our community to be able to measure and report on the full impact of recent changes in CIDA/DFATD.

SURVEY GOALS

1. update the information on positive and negative impacts that reduced funding from CIDA/DFATD and other government sources has had on organizations in our sector (relative to the baseline);
2. identify other impacts experienced by organizations and that are hindering their ability to act as independent development actors in their own right;
3. map how organizations have responded to these impacts as a result;
4. identify a group of organizations who would be willing to share their experience and the impacts with others.

CCIC and the ICN will use the report in discussions with the government decision-makers, and to draw the media and public's attention to these important issues. It will also feed the development of a collective response, recommendations, talking points and other tools that can be used by organizations in 2014-15.

COMPLETING THE SURVEY

This survey has been sent to the official contact person noted in your membership file as well as the Executive Director/CEO. We have also made an effort to inform the person in your organization who completed the 2012 survey if relevant. Please ensure that the survey is completed by the person best-suited to respond on behalf of your organization.

The survey consists of a large number of questions, many of which are multiple choice, and so we expect it to take between 30 to 50 minutes to complete. The survey has been set up on-line so that you can save your results every time you hit "next" and return to it on multiple occasions if you access the survey from the same IP address (e.g. your office).

If you are a member of both CCIC and one or several Provincial or Regional Councils, just complete this survey once.

CONFIDENTIALITY

Individual surveys and their results WILL BE TREATED AS CONFIDENTIAL. CCIC and the ICN understand that funding information is extremely sensitive for many organizations. Accordingly, while we will share the aggregate results and general trends in a final Report and Executive Summary, any data shared publicly will not identify individual organizations.

DEADLINE

This survey is open until Friday, January 31, 2014.

Annex One: Establishing an enabling environment for civil society

It is as important to hear from organizations that have experienced few impacts, as well as those with major impacts.

QUESTIONS?

Contact Fraser Reilly-King (freillyking@ccic.ca) or Heather McPherson (director@acgc.ca).

Thank you for your participation!

SURVEY RESPONDENT INFORMATION

*1. Name of your organization

2. How would you best describe the nature of your organization?

- International development NGO
- Educational institution
- Faith-based organization
- Community group
- Co-operative
- Multilateral organization
- Foundation
- Private sector organization

Other (please specify):

*3. Please provide your contact information:

Name

Position

Email

Annex One: Establishing an enabling environment for civil society

***4. Of which national and/or provincial council(s) is your organization a member? Please check all that apply.**

- Canadian Council for International Co-operation (CCIC)
- Alberta Council for Global Cooperation (ACGC)
- Association québécoise des organismes de coopération internationale (AQOCI)
- Atlantic Council for International Cooperation (ACIC)
- British Columbia Council for International Cooperation (BCCIC)
- Manitoba Council for International Cooperation (MCIC)
- Ontario Council for International Cooperation (OCIC)
- Saskatchewan Council for International Cooperation (SCIC)
- Your organization is a national or provincial council
- None of the above

ORGANIZATIONAL AND FUNDING INFORMATION

*5. How many paid staff does your organization have in Canada?

	Part-time (3 days/week or less)	Full-time (4 or 5 days/week)
No paid staff	<input type="checkbox"/>	<input type="checkbox"/>
1-2	<input type="checkbox"/>	<input type="checkbox"/>
3-5	<input type="checkbox"/>	<input type="checkbox"/>
6-15	<input type="checkbox"/>	<input type="checkbox"/>
16+	<input type="checkbox"/>	<input type="checkbox"/>

Feel free to comment

6. Since financial year 2010, has your total organizational revenue:

- Increased
- Decreased
- Stayed the same

Please comment on the reasons for these trends.

*7. What is the approximate total organizational income for international development and public engagement programs for your 2012 fiscal year or the most recent year available? (i.e. the revenue used to calculate your membership fees for CCIC or a provincial council, whichever applies).

- 0 - \$500,000
- \$500,001 - \$1,000,000
- \$1,000,001 - \$5,000,000
- \$5,000,001 - \$10,000,000
- \$10,000,001 - plus

Annex One: Establishing an enabling environment for civil society

8. Roughly what percentage of the above international development budget comes from non-governmental contributions (individual, foundations and corporations)?

- 0% (We get no private contributions)
- Less than 10%
- 11% - 25%
- 26% - 50%
- 51% - 75%
- 76% or more

9. Roughly what percentage of the above international development budget comes from provincial government funding?

- 0% (We get no provincial funding)
- Less than 10%
- 11% - 25%
- 26% - 50%
- 51% - 75%
- 76% or more

***10. Roughly what percentage of the above international development budget comes from CIDA/DFATD funding?**

- 0% (We get no CIDA/DFATD) funding
- Less than 10%
- 11% - 25%
- 26% - 50%
- 51% - 75%
- More than 75%

FUNDING HISTORICALLY RECEIVED FROM CIDA/DFATD

11. Whether or not you currently have CIDA/DFATD funding, prior to this, how long have/had you been receiving funding from CIDA/DFATD?

- 0 - 5 years
- 6 - 10 years
- 11 - 20 years
- 21 - 30 years
- 31 years or more

12. And over this period, in general has the funding been:

- Ongoing
- Periodic for specific initiatives
- Both

Feel free to provide comments.

CURRENT CIDA/DFATD FUNDING

***13. Are you currently receiving CIDA/DFATD funds?**

Yes

No

CURRENT CIDA/DFATD FUNDING (CONT.)

14. Roughly what percentage of your current total CIDA/DFATD funding is from (former) Partnerships with Canadians Branch (PWCB)?

- 0% (We get no PWCB funding)
- Less than 10%
- 11% - 25%
- 26% - 50%
- 51% - 75%
- More than 75%

15. Roughly what percentage of your current total CIDA/DFATD funding is from (former) Geographic (bilateral) Branch?

- 0% (We get no CIDA/DFATD Geographic Branch funding)
- Less than 10%
- 11% - 25%
- 26% - 50%
- 51% - 75%
- More than 75%

16. Roughly what percentage of your current total CIDA/DFATD funding is from (former) Multilateral Branch?

- 0% (We get no CIDA/DFATD Multilateral Branch funding)
- Less than 10%
- 11% - 25%
- 26% - 50%
- 51% - 75%
- More than 75%

Annex One: Establishing an enabling environment for civil society

17. Please indicate when your main or last PWCB (non-Call-for-Proposal) agreement expires:

- Expired before or on December 31st, 2012
- Expires before or on December 31, 2013
- Expires in 2014
- Expires beyond 2014
- We never got such funding

***18. Please indicate if your organization was successful in one or more PWCB call-for-proposals since the launch of the new system in 2011?**

- Yes
- No
- We didn't apply

CURRENT CIDA/DFATD FUNDING (CONT.)

19. Please indicate which calls-for-proposals your organization was successful with:

- Under \$2 million
- Over \$2 million
- Muskoka Initiative
- Haiti Recovery and Reconstruction
- International Youth Internship
- International Aboriginal Youth Internship
- Canadian Fund for African Climate Resilience
- Canadian International Food Security Research Fund

Other Call for Proposal (Specify)

20. Please indicate when the last call-for-proposal agreement expires:

- Already expired
- On or before December 31, 2013
- In 2014
- 2015 or beyond

21. Please indicate the approximate total budget for all successful calls-for-proposals since 2011:

- Less than \$999,000
- \$1,000,000 to \$2,999,000
- \$3,000,000 to \$4,999,000
- \$5,000,000 to \$9,999,000
- More than \$10,000,000

Annex One: Establishing an enabling environment for civil society

22. Please indicate the length of the negotiation period for your contribution agreement(s), following the announcement of the successful proposals (please indicate for each successful proposal):

	Contribution agreement one	Contribution agreement two	Contribution agreement three
Two months or less	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Six months or less	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
One year or less	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
More than one year	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
More than two years	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Please comment on this process of finalizing the contribution agreement

***23. Please indicate if you successfully negotiated the budget as originally proposed:**

- Yes, with no significant changes in allocation
- Yes, with significant changes in allocation
- No, reduced budget

If no, approximate size of reduction

Annex One: Establishing an enabling environment for civil society

PUBLIC ENGAGEMENT SPENDING

24. Please rank the following objectives of public engagement within your organization, with one as the highest priority.

<input type="text"/>	Raising awareness about particular issues
<input type="text"/>	Advocacy (political action)
<input type="text"/>	Information sharing about organization's programs
<input type="text"/>	Volunteer recruitment and action
<input type="text"/>	Fundraising
<input type="text"/>	Changing broad attitudes / perceptions / behaviors
<input type="text"/>	Empowering target populations

25. How long has your organization been doing public engagement / global education work?

- For less than 1 year
- For 1 to 4 years
- For 5 to 9 years
- For 10 to 19 years
- For 20+ years
- We don't do public engagement work

Feel free to comment

Annex One: Establishing an enabling environment for civil society

26. What percentage of your organization's resources is currently devoted to public engagement activities:

- 0% (We devote no funding to public engagement activities)
- Less than 10%
- 10% to 20%
- 20% to 30%
- 30% to 50%
- More than 50%

Please comment.

27. How has this allocation for public engagement/global education in your organization changed in the last five years?

- Increased
- Decreased
- Stayed the same

Please comment

Annex One: Establishing an enabling environment for civil society

28. How does your organization fund its public engagement work? Please select all that apply.

- CIDA/DFATD
- Other Canadian Federal Government Agencies
- Canadian Foundations
- Provincial Government Agencies
- Corporate Donors/Partners
- Members/Member Organizations
- Individual Donors
- International Foundations
- Research Institutions
- International or Regional Organizations (such as the Global Fund, World Bank, European Union)
- Other Governments

Other (please specify)

29. With the abolition of the 10% allowable allocation to public engagement in former Voluntary Sector Fund/Program agreements, has your organization undertaken any public engagement activities with other resources?

- Yes
- No

Please comment.

IMPACTS ON YOUR ORGANIZATION'S WORK

30. How, if at all, has the change to a competitive call-for-proposals' system impacted your organization in terms of resources (for example, financial viability, planning your funding cycle, human resources)?

31. What has been the overall impact of changes in funding opportunities with PWCB on organizational programming (for example, on other programming elements such as public engagement and outreach, monitoring, evaluation and learning, advocacy, etc.)?

32. Have you had to lay off any of your staff?

- Yes, even before the call-for-proposal mechanisms
- Yes, because of the results of the call-for-proposal mechanisms
- No, but we will have to in the next 12 months
- No

Comments (please indicate number and scale of lay offs)

33. Have you reduced your activities on the ground?

- Yes, even before the call-for-proposal mechanism
- Yes, because of the results of the call-for-proposal mechanism
- No, but we will have to in the next 12 months
- No

Comments (please indicate nature and possible impacts of reductions).

Annex One: Establishing an enabling environment for civil society

34. Have you had to end some of your partnerships on the ground?

- Yes, even before the call-for-proposal mechanism
- Yes, because of the results of the call-for-proposal mechanism
- No, but we will have to in the next 12 months
- No

35. If yes, please indicate the total number of partnerships affected, and scale (type and number) of reductions as a result of the change in CIDA/DFATD funding mechanisms in PWCB.

Total number of projects / programs affected	<input type="text"/>
Completely closed	<input type="text"/>
Significantly downsized	<input type="text"/>
No change	<input type="text"/>

36. Can you assess the impact on your partners of the programmatic decisions you have made as a result of changes in funding opportunities with PWCB, providing concrete examples, if possible:

ADJUSTING TO IMPACTS – FINANCIAL DIVERSIFICATION

37. How has your organization made up for lost CIDA/DFATD support to your programs?

***38. Has your organization adopted and carried out a revenue diversification strategy during the past two years?**

- Yes
- No

ADJUSTING TO IMPACTS – FINANCIAL DIVERSIFICATION

39. What are the main targets and approaches in implementing this strategy?

40. Has your revenue diversification strategy changed in emphasis since 2010?

Yes

No

If Yes, how successful has your organization been in diversifying revenue sources? Please provide some comments on your strategy.

ADJUSTING TO IMPACTS – FINANCIAL DIVERSIFICATION (CONT.)

***41. Not including CIDA/DFATD PWCB, has your organization received funding from the following organizations over the past two years (since the beginning of 2012)?**

- None of the below
- CIDA/DFATD Geographic
- CIDA/DFATD Multilateral
- Other Canadian government departments (e.g. pre CIDA-merger DFAIT, Finance, Environment Canada, etc.)
- Provincial Governments
- Other entities created by the government (for example, IDRC)
- Other bilateral donors (e.g. DFID, AUSAID, US AID)
- Multilateral donors (e.g. Asian Development Bank, World Bank)
- Private foundations
- Other NGOs
- Private sector

Other (please specify)

Annex One: Establishing an enabling environment for civil society

ADJUSTING TO IMPACTS – FINANCIAL DIVERSIFICATION (CONT.)

42. Has this funding increased, remained roughly the same, or diminished over the last decade or so?

	Increased	Remained roughly the same	Diminished
CIDA/DFATD Geographic	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
CIDA/DFATD Multilateral	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Other Canadian government departments	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Provincial governments	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Other entities created by the government (e.g. IDRC)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Other bilateral donors	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Multilateral donors	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Private foundations	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Other NGOs	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Private sector	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

ADJUSTING TO IMPACTS – FINANCIAL DIVERSIFICATION (CONT.)

43. How many projects/programs have you submitted to any branch of CIDA/DFATD where they have remained “in the pipeline” (indicate number of projects/programs).

	1	2	3	4	5	More than 5
With a timely response, within what can be expected from a government funder (i.e. approximately four months)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
With a formal response, but after an unacceptably long period of silence	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
With no response	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Not applicable	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

44. What is the shortest time you have waited for the results of a project submission (in months)?

45. What is the longest time you have waited for the results of a project submission (in months)?

DIALOGUES WITH GOVERNMENT

46. Since the beginning of 2012, has your organization been invited to a CIDA/DFATD or Department of Finance official Policy Roundtable, or other type of discussion or event involving more than your own organization?

- Yes
- No

Annex One: Establishing an enabling environment for civil society

DIALOGUES WITH GOVERNMENT (CONT.)

47. Could you categorize these?

	0	1-2	3-4	5-10	10-15	More than 15
CIDA/DFATD official policy roundtable	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Other CIDA/DFATD dialogue or event	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Department of Finance official policy roundtable	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Other Department of Finance dialogue or event	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Other government department official policy roundtable	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Other dialogue or event by another government department	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

If other government department type of roundtable or dialogue, please specify which government department

48. Did CIDA/DFATD take into account the challenges facing smaller organizations and/or those geographically distant from the location of the dialogue?

- Yes
 No

49. For the dialogues in which you participated, did you think the government took account of CSO input in subsequent government policies and activities?

- Yes
 No

50. Please provide comments on the relevance of the dialogues for your organization and your assessment of trends in accessing relevant officials and politicians to discuss policy concerns relevant to your organization's mandate.

IMPACTS AS A NOT-FOR-PROFIT

***51. Since the last survey in 2012, CSOs have also faced other impacts affecting their ability to operate. Now, all federally incorporated Canadian not-for-profit organizations must apply for continuance under the new Canada Not-for-profit Corporations Act by submitting to Corporations Canada articles of continuance and a new by-law by October 17, 2014.**

If applicable, has your organization made an application to Corporations Canada for continuance?

- Yes
- No
- Not applicable

IMPACTS AS A NOT-FOR-PROFIT (CONT.)

52. Has your organization experienced any reactions/issues from Corporations Canada that significantly affect the operations of your organization?

IMPACTS AS A NOT-FOR-PROFIT (CONT.)

***53. If your organization has charitable status, the Canadian Revenue Agency (CRA) requires that federal articles of continuance and new by-laws be filed with the Agency once granted by Corporations Canada. In addition, if the purposes of your charity have been changed, a statement of current activities describing all of the activities of the charity in furtherance of the revised purposes must also be filed. Has your organization filed with CRA any changes in the purposes (sometimes referred to as “objects”) and related activities of your charity?**

- Yes
- No
- Not applicable

IMPACTS AS A NOT-FOR-PROFIT (CONT.)

54. Has your organization experienced any reactions/issues from CRA that significantly affect the operations of your organization?

AUDITS OF NOT-FOR-PROFITS

***55. Since January 2010, has CRA audited your organization?**

- Yes
- No
- Not a charity and therefore not applicable

AUDITS OF NOT-FOR-PROFITS (CONT.)

56. Has your organization been the subject of more than one CRA audit since 2010?

- Yes
 No

If yes, please indicate how many audits were undertaken since 2010:

57. What has been your organization's experience with this/these CRA audit(s)?

58. How long was the audit process?

59. What documentation did CRA auditors request?

60. Provide an estimate of the time and resources that your organization devoted to fulfilling your obligations to CRA for the audit?

61. What impacts, if any, has there been on your relationships with developing country partners as a result of this/these audit(s)?

62. If you had previous CRA audits prior to 2010, have there been significant differences between those earlier audits and the most recent audit?

***63. Did the audit focus on particular aspects of your organization's activities, such as political activities?**

- Yes
 No

AUDITS OF NOT-FOR-PROFITS (CONT.)

64. What was the result of this particular focus for your organization's work and purposes?

AUDITS OF NOT-FOR-PROFITS (CONT.)

***65. Has your organization been the subject of a CIDA/DFATD audit since 2010?**

- Yes
- No

AUDITS OF NOT-FOR-PROFITS (CONT.)

66. Have there been significant differences between the recent CIDA/DFATD audits and similar audits prior to 2010?



SUPPORTING YOUR ORGANIZATION

67. In what ways could your provincial or regional council or CCIC better support you in dealing with the changes being implemented at CIDA/DFATD?

68. Do you have additional comments to make concerning the impact of changes implemented by the government over the past three years for the financing of Canadian CSOs involvement in international cooperation?

SHARING THESE RESULTS

69. Your answers to this survey are strictly confidential. But it is also useful to be able to share real examples alongside the main messages of the report that will be developed from the cumulative results of this survey. Are you willing to share stories about the impact on your programs resulting from changes in funding opportunities with PWCB and new requirements from the CRA? (You would be contacted before any examples would be shared to make sure you agree with the proposed text.)

Yes

No

If Yes, please provide contact information.

Annex One: Establishing an enabling environment for civil society

Thank you very much for completing this survey.

As mentioned previously, any individual information obtained by this survey will be kept confidential, however group findings will be shared with respondents, CIDA and other important stakeholders, as well as on our web sites.

We hope to produce a report documenting its findings by early spring 2014.

